

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------|----------------------------------|----------------------|
| Applicant: | Sean Handel et al. | |
| Serial No.: | 09/196,338 | Conf. No. 9014 |
| Filed: | November 19, 1998 | Group Art Unit: 3622 |
| Title: | A PERSONALIZED PRODUCT REPORT | Examiner: A. Duran |
| Docket No.: | 60021-302901 | |

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Please amend the Domestic Priority data as claimed by applicant to read as follows:

This appln claims benefit of 60/115,515 11/12/1998

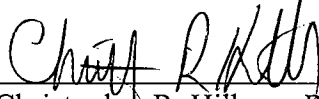
Attached for your reference are copies of the following:

1. A red-lined Filing Receipt showing the above-identified correction;
2. Supplemental Application Data Sheet;
3. Copy of the executed Combined Declaration and Power of Attorney showing the originally claimed priority to the provisional application listed above and return post card showing receipt of the same by the United States Patent and Trademark Office.

In compliance with 37 C.F.R. § 1.78(a)(5)(ii), because the above-identified non-provisional application is pending and was filed before November 29, 2000, this request to reference a claim of priority to Provisional Application Serial No. 60/115,515 is timely.

If any fees are due in connection with the filing of this paper, then the Commissioner is authorized to charge such fees, including fees for any extension of time, to Deposit Account No. 50-1901 (Docket 60021-302901).

Respectfully submitted,

By 
Christopher R. Hilberg, Reg. No. 48,740
Customer No. 29,838

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/196,338 | 11/19/1998 | 2165 | 760 | AC980010 | 26 | 18 | 3 |

CONFIRMATION NO. 9014

CORRECTED FILING RECEIPT



OC000000006804535

L. KEITH STEPHENS
HICKMAN STEPHENS & COLEMAN
P.O. BOX 52037
PALO ALTO, CA 943030746

Date Mailed: 09/27/2001

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

SEAN HANDEL, SAN FRANCISCO, CA;
BRIAN DAY, BURLINGAME, CA;
MIYA YUEN, FOSTER CITY, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/115,515 11/12/1998

Foreign Applications

If Required, Foreign Filing License Granted 12/14/1998

CPA filed on: 04/11/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

A PERSONALIZED PRODUCT REPORT

Preliminary Class

RECEIVED

OPPENHEIMER WOLFF & DONNELLY LLP
PALO ALTO, CALIFORNIA

OCT 02 2001

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Supplemental Application Data Sheet

Application Information

| | |
|----------------------------------|-------------------------------|
| Application Type:: | Regular |
| Subject Matter:: | Utility |
| Title:: | A PERSONALIZED PRODUCT REPORT |
| Attorney Docket Number:: | 60021-302901 |
| Serial No.: | 09/196,338 |
| Filed:: | November 19, 1998 |
| Total Drawing Sheets:: | 26 |
| Small Entity:: | No |
| Petition included?:: | |
| Secrecy Order in Patent Appl.?:: | |

Applicant Information

| | |
|---|------------------|
| Applicant Authority type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
| Given Name:: | Sean |
| Middle Name:: | |
| Family Name:: | Handel |
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| | |
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| Applicant Authority type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
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| | |
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| Applicant Authority type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
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| Middle Name:: | |
| Family Name:: | Yuen |
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| State or Province of mailing address:: | CA |
| Postal or Zip Code of mailing address:: | 94404 |

Correspondence Information

Correspondence Customer Number:: 29838

Representative Information

Representative Customer Number:: 29838

Domestic Priority Information

| Application:: | Continuity Type:: | Parent Application:: | Parent Filing Date:: |
|-------------------------|--|-----------------------------|-----------------------------|
| <u>This application</u> | <u>An application claiming the benefit under 35 USC 119(e)</u> | <u>60/115,515</u> | <u>11/12/1998</u> |

Foreign Priority Information

| Country:: | Application number:: | Filing Date:: | Priority Claimed:: |
|------------------|-----------------------------|----------------------|---------------------------|
| | | | |

Assignee Information

Assignee name:: Accenture LLP
Street of mailing address:: 1661 Page Mill Road
City of mailing address:: Palo Alto
State or Province of mailing address:: CA
Country of mailing address:: USA
Postal or Zip Code of mailing address:: 94304

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Handel, et al.

Title: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR ADVANCED INFORMATION GATHERING FOR A PERSONALIZED PRODUCT REPORT

Docket: AC980010

Express Mail No.: BE2557V7831US

Date of Deposit: 11-19-98

☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.

☒ Utility Patent Application: Spec. 101 pgs; 18 claims; Abstract 1 pgs.

☒ 26 sheets of formal drawings

☒ A signed Combined Declaration and Power of Attorney

☒ Assignment of the invention to Andersen Consulting Properties B.V., Recordation Form Cover

Sheet

☐ A check in the amount of \$_____ to cover the Filing Fee

☐ A check for \$40.00 to cover the Assignment Recording Fee.

Patent

JC540 U.S. PTO
09/196338



ANDERSEN
CONSULTING

ANDERSEN CONSULTING

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A PERSONALIZED PRODUCT REPORT.

The specification of which

- a. ☒ is attached hereto
 b. ☒ was filed on November 12, 1998 as application serial no. and was amended on (if applicable) (in the case of a PCT-filed application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.
 b. ☐ such applications have been filed as follows:

| FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 | | | |
|--|--------------------|--------------------------------------|-------------------------------------|
| COUNTRY | APPLICATION NUMBER | DATE OF FILING (day, month, year) | DATE OF ISSUE (day, month, year) |
| | | | |
| ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) | | | |
| COUNTRY | APPLICATION NUMBER | DATE OF FILING (day, month, year) | DATE OF ISSUE (day, month, year) |
| | | | |

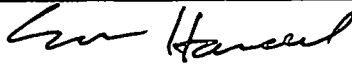
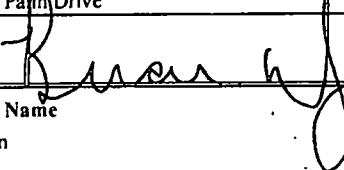
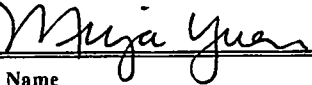
I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. APPLICATION NUMBER | DATE OF FILING (day, month, year) | STATUS (patented, pending, abandoned) |
|-------------------------|-----------------------------------|---------------------------------------|
| | | |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

| U.S. PROVISIONAL APPLICATION TITLE | DATE OF FILING (Day, Month, Year) |
|---|-----------------------------------|
| A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CLIENT CENTRIC NETWORKING EXPERIENCE. | 12 November 1998 |

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | | |
|--|----------------------------|--|--------------------------------|--------------------------------------|
| 2 | Full Name Of Inventor | Family Name Handel | First Given Name Sean | Second Given Name |
| 0 | Residence & Citizenship | City San Francisco | State or Foreign Country CA | Country of Citizenship US |
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| Signature of Inventor 201:  | | | | Date: 11-18-98 |
| 2 | Full Name Of Inventor | Family Name Day | First Given Name Brian | Second Given Name |
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| 2 | Post Office Address | Post Office Address 1112 Palm Drive | City Burlingame | State & Zip Code/Country CA 94919 |
| Signature of Inventor 202:  | | | | Date: 11/18/98 |
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| 3 | Post Office Address | Post Office Address 748 Bounty Drive, #4802 | City Foster City | State & Zip Code/Country CA 94404 |
| Signature of Inventor 203:  | | | | Date: 11/17/98 |
| 2 | Full Name Of Inventor | Family Name | First Given Name | Second Given Name |
| 0 | Residence & Citizenship | City | State or Foreign Country | Country of Citizenship |
| 4 | Post Office Address | Post Office Address | City | State & Zip Code/Country |
| Signature of Inventor 204: | | | | Date: |
| 2 | Full Name Of Inventor | Family Name | First Given Name | Second Given Name |
| 0 | Residence & Citizenship | City | State or Foreign Country | Country of Citizenship |
| 5 | Post Office Address | Post Office Address | City | State & Zip Code/Country |
| Signature of Inventor 205: | | | | Date: |

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.